



Substitute Form PTO-1009 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11872-030001	Application No. 09/841,988
		Applicant Dung A. Ngo et al.	
		Filing Date April 25, 2001	Group Art Unit 3728

**Information Disclosure Statement
by Applicant**
(Use several sheets if necessary)

(37 CFR §1.98(b))

RECEIVED
OCT 18 2001
TECHNOLOGY CENTER

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date Inappropriate
	AA	3,153,216	10/13/1964	Klitten			
	AB	3,212,172	10/19/1965	Davis			
	AC	3,233,311	02/08/1966	Giegerich et al.			
	AD	3,434,087	03/18/1969	Hofmann			
	AE	3,548,355	12/15/1970	Martincic et al.			
	AF	3,611,226	10/05/1971	Cotton et al.			
	AG	3,626,587	12/14/1971	Zickar et al.			
	AH	3,708,897	01/09/1973	Martincic et al.			
	AI	3,750,071	07/31/1973	Eley			
	AJ	3,774,298	11/27/1973	Eley			
	AK	4,504,813	03/12/1985	Strang			
	AL	4,599,594	07/08/1986	Siman			
	AM	4,751,488	06/14/1988	Lanoue et al.			
	AN	5,242,760	09/07/1993	Matsuoka et al.			
	AO	5,455,392	10/03/1995	Preu et al.			
	AP	5,470,646	11/28/1995	Okamura et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AQ	0 082 954 A1	07/06/1983	EP				
	AR	2 289 039	05/21/1976	France				
	AS	1,087,594	10/18/1967	Great Britain				
	AT	1,156,369	06/25/1969	Great Britain				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AU	Copy of PCT Search Report for PCT/US99/06476 dated 07/09/1999
	AV	Copy of PTO Form 892 from U.S. Serial No. 09/276,164

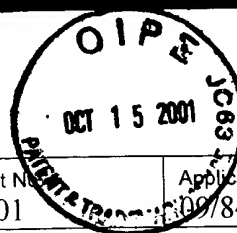
Examiner Signature

C. J. Cubes

Date Considered

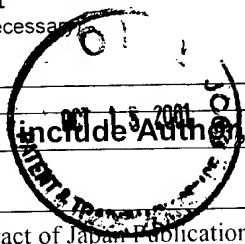
8/1/03

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11872-030001	Application No. 09/841,945
		Applicant Dung A. Ngo et al.	
		Filing Date April 25, 2001	Group Art U 3722

RECEIVED
OCT 18 2001
TECHNOLOGY CENTER R3700



Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AW	Patent Abstract of Japan Publication No. JP 58-141515 A
	AX	Patent Abstract of Japan Publication No. 59-121810 A2
	AY	Report titled: "National Appliance and Equipment Energy Efficiency Program, Analysis of Potential for Minimum Energy Performance Standards for Distribution transformers", Draft Final Report dated 03 May 2000 by ark Ellis & Associates, 44, Albert Street, Wagstaffe, NSW 2257, Australia

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	